



Atty. Dkt. No. 072827-1801

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Thomas Stormann et al.

Title: G-PROTEIN FUSION RECEPTORS  
AND CHIMERIC GABA<sub>B</sub>  
RECEPTORS

Appl. No.: 09/679,664

Filing Date: 10/03/2000

Examiner: Landsman

Art Unit: 1647

**CERTIFICATE OF MAILING**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. The documents were cited in the PCT International Search Report issued on December 3, 2002. Two of the documents in the search report were previously submitted to the Examiner for consideration in the present application (WO 99/51641 and Kaupmann et al ) and therefore copies of these two documents are not included with this submission. A copy of the each of the other listed documents is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as

defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

#### **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this supplemental information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed

herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

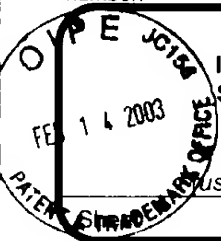
Date 2/7/03

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Substitute for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

1

of

2

## **Complete if Known**

Application Number	09/679,664
Filing Date	10/03/2000
First Named Inventor	Thomas Stormann et al.
Group Art Unit	1647
Examiner Name	Landsman
Attorney Docket Number	072827-1801

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A1		WO 99/51641					

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A2	Database GSP Online!, 7 January 2000 retrieved from EBI, Database Accession No. AAY49131 XP002220593 abstract	
	A3	Database GSP Online!, 7 January 2000 retrieved from EBI, Database Accession No. AAY49134 XP002220594 abstract	
	A4	Database SWALL Online!, 1 November 1997 retrieved from EBI, Database Accession No. 014832, XP002220590 abstract	
	A5	Database SWALL Online!, 1 November 1997 retrieved from EBI, Database Accession No. 014833, XP002220591 abstract	
	A6	Couve Andres et al., "GABAB receptors: A new paradigm in G protein signaling." Molecular and Cellular Neuroscience, 16(4): 296-312, 2000.	

Examiner  
Signature

Date  
Considered

\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered Include copy of this form with next communication to applicant

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

2

**Complete if Known**

<b>Application Number</b>	09/679,664
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<b>First Named Inventor</b>	Thomas Stormann et al.
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Group Art Unit	1647
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Examiner Name	Landsman
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Attorney Docket Number	072827-1801
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## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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